

#### CIRCULAR

### **NSE**

Introduction of Margin Trading Approval/Withdrawal Module on ENIT New Compliance

Standard Operating Procedure in the cases of Trading Member /Clearing Member leading to default

Auction for settlement no. 2020123

Applicability of Additional Surveillance Measure (ASM)

Applicability of Short Term Additional Surveillance Measure (ST-ASM)

List of Securities moving to Stage I of Graded Surveillance Measure (GSM)

List of Securities moving to Stage II of Graded Surveillance Measure (GSM)

List of Securities moving to Stage III of Graded Surveillance Measure (GSM)

Applicability of Surveillance Measure in respect of companies with high ???Encumbrance??? as per

Reg. 28(3) of SEBI (SAST) Regulation 2011

Relaxation in timelines for compliance with regulatory requirements

Standard Operating Procedure in the cases of Trading Member / Clearing Member leading to default

Extension - Discontinuation of NEAT Application

Change in the Constituents of Indices

Adjustment of Futures and Options contracts in the security ITC

List of Securities moving to Stage I of Graded Surveillance Measure (GSM)

List of Securities moving to Stage II of Graded Surveillance Measure (GSM)

List of Securities moving to Stage IV of Graded Surveillance Measure (GSM)

Applicability of Additional Surveillance Measure (ASM)

Applicability of Short Term Additional Surveillance Measure (ST-ASM)

Simulated Environment ??? Consolidated Circular

INTERNAL MOCK Trading on Saturday, July 04, 2020 - New Version of NEATSLBM (1.1.4)

Margin Obligations to be given by way of Pledge/ Re-pledge

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# BSE

Relaxation from compliance to REITs and InvITs due to the CoVID-19 virus pandemic.

Release of BOLT Pro TWS Version 5.0

Applicability of Additional Surveillance Measure

Applicability of Surveillance Measure in respect of companies with high 'Encumbrance' as per Reg.

28(3) of SEBI (SAST) Regulation 2011

#### **CHANGE IN CIRCUIT FILTER**

Graded Surveillance Measure (GSM) - List of securities moving into their respective GSM Stages

Clarifications received from the companies on news articles

Clarifications received from the companies

Standard Operating Procedure in the cases of Trading Member / Clearing Member leading to default

Discontinuation of Trading and Delisting of Equity Shares of Empee Distilleries Limited (Scrip Code:

532920)

Listing of further securities issued by the companies under ESOP/ESOS

**CHANGE IN CIRCUIT FILTER** 

Applicability of Additional Surveillance Measure

Mock Session for Offer for Sale (OFS) Segment (Series II and Series III)

Guidelines for Online Bidding platform for Sovereign Gold Bond 2020-21: Series IV

Enhanced supervision: Monitoring of Clients' Funds lying with the Stock Broker for the week ended July

3, 2020

Weekly Submission of Holding Statement

### **MCX**

Standard Operating Procedure in the cases of Trading Member leading to default

Surrender of empanelment by Kalyx as a Warehouse Service Provider (WSP)

**Mock Trading** 

Standard Operating Procedure in the cases of Trading Member/Clearing Member leading to default

Commencement of Futures Trading in Gold Mini October 2020 Contract and LTP Based Spread Trading

Facility in Gold Mini Futures Contracts

Commencement of Silver New LTP Based Spread Contracts

Revised List of Approved Securities, Approved Commodities and Approved Banks

# **ICEX**

ANNUAL SUBSCRIPTION FEE FOR F.Y. 2020-2021

COMMENCEMENT OF FUTURES TRADING IN PADDY CONTRACT

## **NCDEX**

Standard Operating Procedure in the cases of Trading Member / Clearing Member leading to default

Standard Operating Procedure in the cases of Trading Member/ Clearing Member leading to default

Application for Surrender of membership

# **NSDL**

2020-0088-Policy- SEBI circular dated July 01, 2020

2020-0088-Policy -SEBI circular on Standard Operating Procedure in the cases of Trading Member

Clearing Member leading to default

## **INDIA INX**

Adjustment of Futures and Options contracts of ITC on account of Dividend

Mock Trading on Saturday, July 04, 2020

Fund Balance Confirmation for the quarter of April-June 2020

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